

03/18/02

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. M61.12-0396

First Inventor or Application Identifier Baining Guo

Title CONVERSATIONAL INTERFACE AGENT

Express Mail Label No. EV049901481US

U.S. PTO

11016 U.S. PTO  
10/0/02 09973

03/14/02

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  \*Fee Transmittal Form e.g., PTO/SB17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Sheets 55]   
(preferred arrangement set forth below  
- Descriptive title of the Invention)  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. § 113) [Total Sheets 5]
4. Oath or Declaration [Total Sheets 2]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5.  Microfiche Computer Program (Appendix)
6.  Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)  
a.  Computer Readable Copy  
b.  Paper Copy (Identical to computer copy)  
c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  
(when there is an assignee)  Power of Attorney
9.  English Translation Document
10.  Information Disclosure Statement (IDS/PTO – PTO)  Copies of IDS
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)
13.  \*Small Entity  Statement filed in prior application.  
Statement(s) Status still proper and desired  
(PTO/SB/09-12)
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Request and Cert. Under 35 USC 122 (Non-Pub)
16.  Other: \_\_\_\_\_

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation –in part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE

 Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or  Correspondence address below

Name	Steven M. Koehler WESTMAN CHAMPLIN & KELLY			
Address	Suite 1600 – International Centre 900 South Second Avenue			
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Country	USA	Telephone	(612) 334-3222	Fax

Name (Print/type)	Steven M. Koehler	Registration No. (Attorney/Agent)	36,188
Signature			Date
			3/14/02

FEE TRANSMITTAL						Complete if Known					
						Application No.					
						Filing Date		HEREWITH			
						First Named Inventor		Baining Gou			
						Title		CONVERSATIONAL INTERFACE AGENT			
						Group Art Unit					
Examiner Name											
Total Amount of Payment \$ 834						Atty. Docket Number	M61.12-0396				
METHOD OF PAYMENT (Check One)						FEE CALCULATION (Continued)					
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champin & Kelly, P.A.						3. ADDITIONAL FEES					
2. <input checked="" type="checkbox"/> PTO-2038 Enclosed						Large Entity	Small Entity	Fee Paid			
Fee	Fee	Fee	Fee	Fee Description							
<u>Code</u>	<u>Fee</u>	<u>Code</u>	<u>Fee</u>	<u>Fee Description</u>							
105	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee							
106	330	206	165	<input type="checkbox"/> Design Filing Fee							
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee							
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee							
						Subtotal (1) \$ 740					
1. BASIC FILING FEE											
Large Entity		Small Entity									
Fee	Fee	Fee	Fee								
<u>Code</u>	<u>Fee</u>	<u>Code</u>	<u>Fee</u>	<u>Fee Description</u>							
101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee							
106	330	206	165	<input type="checkbox"/> Design Filing Fee							
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee							
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee							
						Subtotal (1) \$ 740					
2. EXTRA CLAIM FEES											
Number Claims		Prior**	Extra	Fee from Below	Fee Paid						
Total	23	20	3	18	54						
Indep.	3	3	0	84	0						
Multiple Dependent Claims											
** Insert 3 and 20, or number previously paid if greater; Reissue see below											
Large Entity		Small Entity									
Fee	Fee	Fee	Fee	Description							
<u>Code</u>	<u>Fee</u>	<u>Code</u>	<u>Fee</u>	<u>Description</u>							
103	18	203	9	Claims in excess of 20							
102	84	202	42	Independent claims in excess of 3							
104	280	204	140	Multiple Dependent Claims							
109	84	209	42	Reissue Independent Claims over Original Patent							
110	18	210	9	Reissue claims in excess of 20 and over original patent							
						Subtotal (2) \$ 54					
						Other Fee (specify) _____					
						Subtotal (3) \$40					
						40					

**Signature**

(Steven M. Koehler)

Date

3/14/02

Req. No. 36.188

Deposit Account No. 23-1123